

5. Projective plane curves and Bézout's thm:

5.1 Definitions:

As in the affine case, we want to allow multiple components

Def: Two non-const. forms $F, G \in k[X, Y, Z]$ are equivalent if $\exists \lambda \in K$ s.t. $F = \lambda \cdot G$.

• A proj. plane curve is an equivalence class of forms.

The degree of proj. plane curve is the degree of a form representing it.

As before we'll write $\bar{F} \subset \mathbb{P}^2$ instead of $V(F)$ for a proj. plane curve defined by a form F .

• Curves of degree 1, 2, 3, 4 are called lines, conics (or quadrics), cubics and quartics.

• Components and their multiplicities are defined as in the affine case.

• $\mathcal{O}_P(F)$ and $k(F)$ are defined as in Chapter 3. If $P = [X:Y:1]$ then $\mathcal{O}_P(F) = \mathcal{O}_{(X,Y)}(F_*)$ where $F_*(X,Y) = F(X,Y,1)$ is the dehomogenization of F .

• The multiplicity $m_P(F)$ of F at P for F irred. is defined as $m_P(F) = \dim_k(\mathfrak{m}_P^n(V)/\mathfrak{m}_P^{n+1}(V))$ for $n \gg 0$.

If $F = \prod_i F_i^{e_i}$ then $m_P(F) := \sum_i e_i \cdot m_P(F_i)$.

If $P = [X:Y:1] \in U_\infty$ then $m_P(F) = m_P(F_*)$ by Theorem 4.1.

• If $P \in F$ is a simple point (i.e. $m_P(F) = 1$) and F is irred.

• If $P \in F$ is a simple point (i.e. $m_P(F) = 1$) and F is irred. then $\mathcal{O}_P(F)$ is a DVR by Corollary 4.4. We write ord_P^F for the corresp. order function on $K(F)$.

• If $P = [x:y:1]$ and F, G are proj. plane curves, we define

$$I(P, F \cap G) = I((x, y), F_* \cap G_*) = \dim_K (G_{x,y} / (F_*, G_*))$$

If $P \neq U_\infty$ we may choose any other dehomog.

Remark: We'd like to say $I(P, F \cap G) = \dim_K (G_P(P^1) / (F, G))$ but this doesn't quite make sense since F, G don't define elements in $\mathcal{O}_P(P^1)$. However if L is any line not containing P , then

$F|_L / \deg F \in \mathcal{O}_P(P^1)$ and if L' is another such line then $F|_{L'} / \deg F$ and $F|_L / \deg F$ differ by the unit $(L'/L)^{\deg F}$ in $\mathcal{O}_P(P^1)$.

Hence by abuse of notation we may write $F \in \mathcal{O}_P(P^2)$ for the class of $F|_L / \deg F$, which is well-def up to a unit and then $\dim_K (\mathcal{O}_P(P^2) / (F, G)) = I(P, F \cap G)$.

i.p. $I(P, F \cap G)$ doesn't depend on the dehomog.

Def: A line is tangent to a curve F at P if $I(P, F \cap L) > m_P(F)$.

• P is an ordinary multiple point of F if there are $m_P(F)$ distinct tangents to F at P .

5.2 Bézout's Theorem.

Thm 5.1 (Bézout's thm): Let F, G be proj. plane curves of degree m and n respectively. Assume F and G have no common components. Then

$$\sum I(P, F \cap G) = m \cdot n$$

$$\sum_{P \in F \cap G} I(P, F \cap G) = m \cdot n$$

Before we go to the proof, let's see some immediate consequences:

Corollary 5.2: If F, G have no common component, then

$$\sum_{P \in F \cap G} m_P(F) \cdot m_P(G) \leq \deg(F) \cdot \deg(G)$$

pr: We have

Thm 4.5 5)

$$\deg(F) \cdot \deg(G) = \sum_{P \in F \cap G} I(P, F \cap G) \geq \sum_{P \in F \cap G} m_P(F) m_P(G)$$

□

Corollary 5.3: If F and G meet in $\deg(F) \cdot \deg(G)$ points, then all these points are simple on F and on G .

Corollary 5.4: If two curves of degree m and n have more than $m \cdot n$ points in common, then they have a common component.

You'll see some very concrete consequences in the exercises for example a curve of degree n can have at most $\frac{n(n-1)}{2}$ multiple points.

pt of Bézout: No common components $\Rightarrow |F \cap G| < \infty$.

Using a proj. change of coordinates we may assume that no point of $F \cap G$ lies on the line at $\infty \leftrightarrow \{z=0\}$.

Then $\dots$

ma: no point of $\Gamma \cap G$ lies on the line at $\infty \leftrightarrow \{Z=0\}$.
 Then $\sum_{P \in \Gamma \cap G} I(P, F \cap G) = \sum_{P \in F \cap G_*} I(P, F_* \cap G_*) = \dim_k k[X, Y]_{(F_*, G_*)} \quad \text{Thm 4.5, 1)$

Set $\Gamma = k[X, Y, Z]_{(F, G)}$, $\Gamma_* = k[X, Y]_{(F_*, G_*)}$, $R = k[X, Y, Z]$

We'll study Γ_* through the map

$$h: \Gamma \rightarrow \Gamma_* \\ \bar{H} \mapsto \bar{H}_*$$

Set $R_d = \{H \in R \mid \deg H = d\} \cup \{0\}$, a k -vect. sp.
 and $\Gamma_d = \{\bar{H} \in \Gamma \mid \deg H = d\} \cup \{0\}$

Claim 1: $\dim_k \Gamma_d = m \cdot n$ for all $d \geq m+n$

pf: Let $\pi: R \rightarrow \Gamma$ be the quotient map. Since F, G have no common factors we have an exact seq.

$$0 \rightarrow R \xrightarrow{\psi} R \times R \xrightarrow{\phi} R \xrightarrow{\pi} \Gamma \rightarrow 0 \\ (A, B) \mapsto A \cdot F + B \cdot G \\ C \mapsto (C \cdot G, -C \cdot F)$$

Restricting to a given degree we get

$$0 \rightarrow R_{d-m-n} \rightarrow R_{d-n} \times R_{d-n} \rightarrow R_d \rightarrow \Gamma_d \rightarrow 0$$

Since $\dim_k R_k = \frac{(k+1)(k+2)}{2} \Rightarrow \dim_k \Gamma_d = m \cdot n. \quad \square$

Claim 2: The map $\alpha: \Gamma \rightarrow \Gamma$ is injective
 $\bar{H} \mapsto Z \cdot \bar{H}$

In part $\alpha|_{\Gamma_d}: \Gamma_d \rightarrow \Gamma_{d+1}$ is an iso. for $d \geq m+n$.

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pf: Assume $\overline{z \cdot H} = 0$, then $z \cdot H = AF + B \cdot G$

Let $A, B, F, G \in k[X, Y]$ for $A[X, Y, 0]$ etc. Now $F \cap G \cap \{z=0\} = \emptyset$,

$$F \cap G = F \cap G \cap \{z=0\} = \emptyset.$$

$\leadsto F_0$ and G_0 have no common component

$$A_0 F_0 = -B_0 G_0 \Rightarrow \exists C \in k[X, Y] \text{ s.t. } B_0 = F_0 \cdot C$$

$$\text{and } -G_0 \cdot C = A_0. \text{ Set } A' = A + GC \text{ and } B' = B - FC.$$

$$\text{Then } A'_0 = A_0 + G_0 \cdot C = 0 = B'_0 \leadsto z | A', z | B'$$

$$\leadsto H = \left(\frac{A+GC}{z} \right) \cdot F + \left(\frac{B-FC}{z} \right) \cdot G \leadsto \overline{H} = 0 \leadsto \alpha \text{ is injective.}$$

Claim 3: $h|_{\Gamma_d}: \Gamma_d \rightarrow \Gamma_*$ is an isom. of k -v. sp. for $d \geq m+n$

$$\text{l.p. } \dim_k(\Gamma_*) = m \cdot n.$$

pf: Let $\overline{A}_1, \dots, \overline{A}_{m \cdot n}$ be a basis of Γ_d .

• Then $\{h(\overline{A}_i)\}_i = \{\overline{A}_{i*}\}_i$ generates Γ_* :

Let $\overline{H} \in \Gamma_*$ and some $N > 0$ s.t. $z^N \overline{H}^* = z^N H \left(\frac{X}{z}, \frac{Y}{z} \right)$ is a form of degree $d' \geq d$. $\leadsto z^N \overline{H}^* \in \Gamma_{d'} \stackrel{\text{claim 2}}{\cong} \Gamma_d$

$$\leadsto z^N \overline{H}^* = \sum_{i=1}^{m \cdot n} \lambda_i \overline{z^{d'-d} A_i}$$

$$\leadsto \overline{H} = \overline{(z^N \overline{H}^*)_*} = \sum_{i=1}^{m \cdot n} \lambda_i \overline{A}_{i*}.$$

• $\{\overline{A}_{i*}\}_i$ are lin independent: $m \cdot n$

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$$\text{Assume } \sum_{i=1}^{m \cdot n} \lambda_i \bar{A}_i = 0 \iff \sum_{i=1}^{m \cdot n} \lambda_i A_i = A F + B \cdot G$$

Applying $()^*$ we see that $\exists a, b, c \geq 0$ s.t.

$$z^a \sum \lambda_i A_i = z^b A^* F + z^c B^* G.$$

$$\rightarrow \sum \lambda_i \bar{z}^a A_i = 0 \text{ in } \mathbb{P}^{d+a} \xrightarrow{\text{claim 2}} \lambda_i = 0 \forall i. \quad \text{claim 3} \quad \square \quad \text{Thm.}$$

5.3 Applications to incidence geometry

Theorem 5.5 (Cayley-Bacharach): Let F_1, F_2 be two proj. cubics intersecting in exactly 9 different points $A_1, \dots, A_9 \in \mathbb{P}^2$. If G is another cubic w/ $A_1, \dots, A_9 \in G$, then G is a linear combination of F_1 and F_2 , i.e. $A_9 \in G$.

pr: 1) No 4 points of $F_1 \cap F_2 = \{A_1, \dots, A_9\}$ are colinear.

Otherwise the line L containing those 4 points would be a component of F_1 and F_2 by Cor 5.4.

But then $|F_1 \cap F_2| \geq L \cup \dots$

2) By the same argument no 7 ($> 2 \cdot 3$) points of $F_1 \cap F_2$ lie on a quadric.

3) Any 5 points in $F_1 \cap F_2$ define a unique quadric:

Existence: A general quadric in $\mathbb{P}^2$ has the form

$$a_1 X^2 + a_2 Y^2 + a_3 Z^2 + a_4 XY + a_5 YZ + a_6 ZX.$$

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The 5 pts will give 5 linear conditions for $a_1, \dots, a_6$
 $\leadsto \exists$ non-trivial solution by lin. alg.

Uniqueness: Assume Q_1, Q_2 are two quadrics containing the 5 pts. Then by cor. 5.4, they share a component, that must be a line L (otherwise $Q_1 = Q_2$).

By 1) the line L contains at most three of the 5 pts.

But then $\frac{Q_1}{L}, \frac{Q_2}{L}$ are lines that pass through the two remaining points $\rightarrow Q_1/L = Q_2/L \Rightarrow Q_1 = Q_2$

4) No 3 points in $\{A_1, \dots, A_8\}$ are colinear:

Assume A_1, A_2, A_3 lie on a line L . Then $A_4, \dots, A_8$ do not lie on L by 1) and $\exists!$ quadric Q passing through $A_4, \dots, A_8$ by 3).

Let $B \in L \setminus \{A_1, A_2, A_3\}$ and $C \in \mathbb{P}^2 \setminus \{L, Q\}$.

By lin. alg. $\exists \alpha, \beta, \gamma \in K$, not all $= 0$, s.t.

$$H := \alpha F_1 + \beta F_2 + \gamma G$$

vanishes on B and C , and $H \neq 0$ (otherwise we're done).

Then H vanishes on $A_1, A_2, A_3, B \xrightarrow{5.4} L \mid H$

and the quadric H/L vanishes on $A_4, \dots, A_8 \xrightarrow{3)} \rightarrow H/L = Q$.

$\leadsto H = L \cdot Q$ but $L(C) \cdot Q(C) \neq 0$ ∇ .

5) No 6 points on $\{A_1, \dots, A_8\}$ lie on a quadric (Exercise)

6) End of pt: Let l be the line through A_1, A_2 and m

6) End of pt: Let L be the line through A_1, A_2 and Q the unique quadric through $A_3, \dots, A_7$.

Pick $B_1, C \in L \setminus \{A_1, A_2\}$ and let $H = \alpha F_1 + \beta \cdot F_2 + \gamma \cdot G$ s.t.

$B_1, C \in H$ and assume $H \neq 0$.

Then $A_1, A_2, B_1, C \in H \cap L \leadsto L \mid H$ and $A_3, \dots, A_7 \in H \setminus L$

$\leadsto H \setminus L = Q$. But $A_8 \notin L \cdot Q = H \nmid \leadsto H = 0$

$\nwarrow$ 4), 5)

□

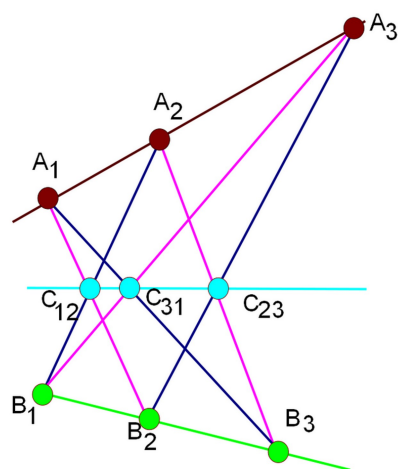
Corollary 5.6 (Pappus' thm): Let $L_1, L_2 \subset \mathbb{P}^2$ be two distinct

lines. Let $A_1, A_2, A_3 \in L_1 \setminus L_2$ and $B_1, B_2, B_3 \in L_2 \setminus L_1$.

Let C_{ij} be the intersection of the lines $\overline{A_i B_j}$ and $\overline{A_j B_i}$ for $ij = 12, 23$ and 31 .

Then C_{12}, C_{23} and C_{31} are colinear.

Remark: Typically this theorem is stated in $\mathbb{R}^2$. But we may just consider the equations of the real lines in $\mathbb{C}^2$, homogenize, and then apply the thm above.



pf: Let F_1 be the product of the purple lines

• F_2 " blue lines

• G " L_1, L_2 and $\overline{C_{12} C_{31}}$

These are all cubics, $|F_1, F_2| = 9$ (if two of the C_{ij} 's

These are all cubics, $|F_1 \cap F_2| = 9$ (if two of the C_i 's agree, the thm is trivial) and G passes through 8 of the 9 points $\xrightarrow{5.5} C_{23} \in G$. But $C_{23} \notin L_1 \cdot L_2 \xrightarrow{} C_{23} \in \overline{C_1 C_2}$ $\square$

5.4 Elliptic curves:

We have seen in Ex 6.5, that $FC\mathbb{P}^2$ of deg 2 and irred ($\Leftrightarrow$ non-sing) is isomorphic to $\mathbb{P}^1$.

Elliptic curves are the next "simplest" case i.e. deg=3:

Def: An elliptic curve (E, O) is a non-singular (hence irred. by Ex. 11.1) cubic $E \subset \mathbb{P}^2$ together with a point $O \in E$.

Somewhat unexpected one can define a commutative group law on E with O as the neutral element.

Define a map $\varphi: E \times E \rightarrow E$ as follows:

$\varphi(A, B) \in E$ is the unique third intersection point of the line $\overline{AB}$ with E if $A \neq B$, or at the tangent at A with E if $A = B$ (the tangent is unique since E is non-singular).

We then define the addition as

$$A + B := \varphi(O, \varphi(A, B)).$$

Theorem 5.7: $(E, +, O)$ is a commutative group.

pt: clearly $A + B = B + A$.

$O + A = A$: Let $C = \varphi(O, A)$. Then the line through O and

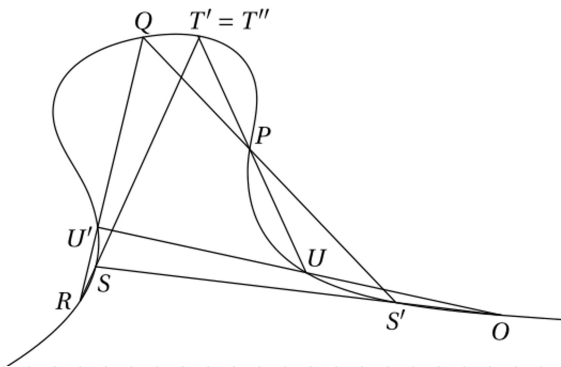
$O+A=A$: Let $C=\varphi(o, A)$. Then the line through O and C intersects E in $A \leadsto O+A=\varphi(o, \varphi(o, A))=A$

Inverse: To define the inverse of $A \in E$, let $P=\varphi(o, o)$ and set $-A=\varphi(A, P)$. Then

$$A + (-A) = \varphi(o, \varphi(A, \varphi(A, P))) = \varphi(o, P) = o.$$

Associativity: Let $P, Q, R \in E$. We want

$$(P+Q)+R = P+(Q+R)$$



Set $\varphi(P, Q)=s'$ and $P+Q=S$

and $\varphi(Q, R)=u'$ and $Q+R=U$

In order to show $S+R=P+U$

it's enough to show $T'=\varphi(R, S)=\varphi(P, U)=:T''$

(Assume that no points collide for simplicity)

Let F be the product of the three lines $\overline{QP}$, $\overline{UU'}$, $\overline{RS}$

Then F and E intersect in 3 distinct points.

The product G of the other three lines $\overline{QR}$, $\overline{SS'}$, $\overline{PU}$ contains 3 of these 3 points (all but T') and hence by the theorem of Cayley-Bacharach also T' .

Since $|G \cap E|=3$ we deduce that $T'=T'' \square$

Let's see a bit more concretely what these curves are.

Lemma 5.8 Any elliptic curve is isomorphic to a curve with equation $Y^2Z - X^3 - aXZ^2 - bZ^3$, $O = [0:1:0]$ (*) where $a, b \in K$ s.t. $4a^3 + 27b^2 \neq 0$.

Sketch of pf: Some clever change of coordinates to get (*).

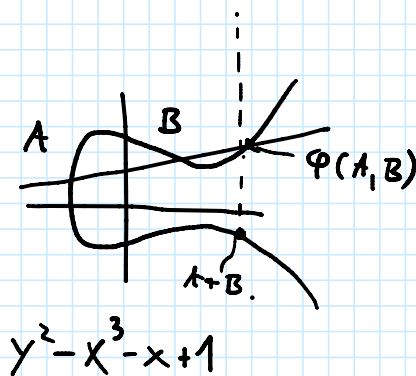
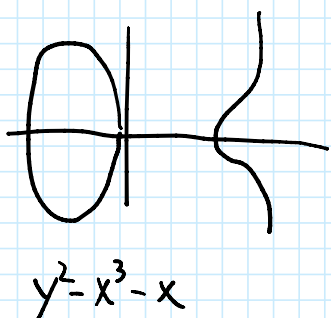
The condition $4a^3 + 27b^2 \neq 0$ is equivalent to not having a multiple point. $\square$

Remark: Notice that O is the only point w/ $z=0$.

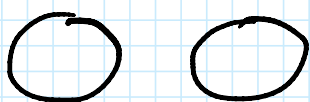
Thus one usually sometimes thinks of (*) as the affine plane curve $Y^2 - X^3 - aX - b$ together w/ a point O at ∞ .

• (*) is called the Weierstrass normal form of (E, O)

Exple:



Remark: If we add the point O in these pictures we get a compactification that looks as follows



Those are 2 different sections of a torus



In fact one can show that for $K = \mathbb{C}$

E is homeomorphic to a torus $T = S^1 \times S^1$.

Thm: $F \text{ of deg } d, g(F) = \frac{(d-1)(d-2)}{2}$

Exple: $d=1$ F is a line $\Rightarrow F \cong \mathbb{P}^1$

$\mathbb{C}\mathbb{P}^1 \cong \text{circle}$ as topol spaces $g(F)=0$.

$d=2$: We also saw in the exercises, that $F \cong \mathbb{P}^1$.
 $g(F)=0$.

$d=3$: $g(F)=1 \leadsto F \cong \text{torus} \leadsto$ elliptic curves.

Let's try to understand this thm:

Assume $[1:0:0] \notin F \Leftrightarrow F(X,Y,Z) = X^d + \dots$

Thus we have a morphism

$$\pi: F \rightarrow \mathbb{P}^1$$

$$(X:Y:Z) \mapsto (Y:Z)$$

$$\pi^{-1}(y:z) = \{F(X,y,z) = 0\} \quad \text{s.t. } |\pi^{-1}(y:z)| = d \text{ unless}$$

$F(X,y,z)$ has a multiple point.

$$\Downarrow$$

$$\frac{\partial F}{\partial X} \cap F \neq \emptyset.$$

By moving the eq's a bit, we can assume that

$F(X,y,z)$ has no zero at multiplicity 3

i.e. $\frac{\partial F}{\partial X^2} \cap \frac{\partial F}{\partial X} \cap F = \emptyset$ (3 eq's in $\mathbb{P}^2$)

Therefore we have $\forall P \in \frac{\partial F}{\partial X} \cap F \subset \mathbb{P}^2$, $I(P, \frac{\partial F}{\partial X} \cap F) = 1$:

Indeed we can compute the tangent line ℓ at $P=(0:0:1) \in U_0$

Indeed we can compute the tangents (say $P = (0:0:1) \in U_{00}$)

Let $F' = F(X, Y, 1)$. Then

Tangent at F' : $\frac{\partial F'}{\partial x}(0,0) \cdot X + \frac{\partial F'}{\partial y}(0,0) \cdot Y$

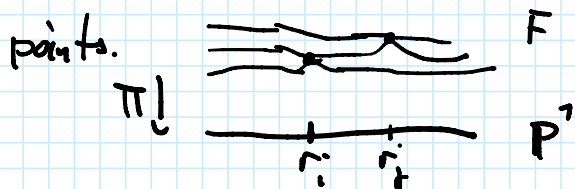
" $\frac{\partial F'}{\partial x} : \frac{\partial F'}{\partial x^2}(0,0) \cdot X + \frac{\partial F'}{\partial x \partial y}(0,0) \cdot Y$

Tangents are different

$\Rightarrow I_P(P, \frac{\partial F}{\partial x} \cap F) = 1.$

Bézout $\Rightarrow |\frac{\partial F}{\partial y} \cap F| = d(d-1).$

Let $r_1, \dots, r_{d(d-1)}$ be the images under π of these multiple points.



So the fibers of π have cardinality d except

$|\pi^{-1}(r_i)| = d-1.$

This determines the genus of F :

Choose a cell-decomposition of $\mathbb{CP}^2$ containing the r_i 's as vertices:



$\sigma_0 = \# \text{ points/vertices}$

$\sigma_1 = \# \text{ 1-cells}$

$\sigma_2 = \# \text{ 2-cells}$

Euler char. $\chi(\mathbb{CP}^2) = \sigma_2 - \sigma_1 + \sigma_0 = 2.$

Pulling back this decomp. under π we get one

for F and $\sigma_{2,F} = d \cdot \sigma_2$, $\sigma_{1,F} = d \cdot \sigma_1$, $\sigma_{0,F} = d \cdot \sigma_0 - d(d-1)$

$\Rightarrow \chi(F) = \sigma_{2,F} - \sigma_{1,F} + \sigma_{0,F} = 2 \cdot d - d(d-1) = 3d - d^2.$

$2 - \chi(F) = 2 - 3d + d^2 = (d-1)(d-2)$

Lenstra's alg. (1987) is among the fastest sub-exponential algorithms known ($< O((1+\epsilon)^{\ln(n)^{c'}}) \forall \epsilon > 0$)

The basic idea is to compute $\gcd(a, n)$ for a varying in a finite subset of $\mathbb{Z}$, and hope to find an answer $\neq 1$. If the subset is "well chosen", this turns out to be efficient.

Pollard's (p-1)-method:

- Choose a random $a \in \mathbb{Z}/n\mathbb{Z}$ and $k \in \mathbb{N}$ with many small prime divisors (e.g. $k = \text{lcm}(1, \dots, b)$ for some $b \in \mathbb{N}$). Then compute $a^k \bmod (n)$ and $\gcd(a^k - 1, n)$.

This works well if n has a prime-divisor p s.t.

$p-1$ is a product of small primes i.e. if $p-1 \mid k$.

If we further assume $p \nmid a$, then by Fermat's thm

$$p \mid a^k - 1 \iff p \mid \gcd(a^k - 1, n) \text{ and we}$$

can detect p by this method.

In order to explain Lenstra's idea we have to believe that everything we learned about elliptic curves (group law, Weierstrass eq.) over an alg. closed field K , also holds true over $\mathbb{Z}$ and $\mathbb{Z}/n\mathbb{Z}$.

If we believe this we can basically just replace the group $(\mathbb{Z}/p\mathbb{Z})^*$ above by an elliptic curve $E/\mathbb{Z}/p\mathbb{Z}$.

Lenstra's algorithm

Given $n > 1$ choose the following:

- A random elliptic curve over $\mathbb{Z}/n\mathbb{Z}$ i.e. an equation
(*) $Y^2Z - X^3 - aXZ^2 - bZ^3$, $O = [0:1:0]$.

where $a, b \in \mathbb{Z}/n\mathbb{Z}$, $4a^3 + 27b^2 \neq 0$.

- An integer k with many small prime divisors
- A random point e on E over $\mathbb{Z}/n\mathbb{Z}$ i.e. $x, y, z \in \mathbb{Z}/n\mathbb{Z}$, not all $= 0$, satisfying (*)

Remark: x, y, z above define a point $[X:Y:Z] \in \mathbb{P}^2(\mathbb{Z}/n\mathbb{Z})$

This homog. coordinates are well-def. up to multiplication by units, i.e. elements in $(\mathbb{Z}/n\mathbb{Z})^*$.

Now compute $k \cdot e := \underbrace{e + e + \dots + e}_{k \text{ times}}$ and the

gcd of its z -coordinate with n . (By the remark is well-def). If it's $\neq 1$ we have found a divisor of n .

Why does it work: For $p|n$ write $E(\mathbb{Z}/p\mathbb{Z})$ for the set of solutions to (*) w. $x, y, z \in \mathbb{Z}/p\mathbb{Z}$. It's a finite group and its size satisfies $p+1-2\sqrt{p} < |E(\mathbb{Z}/p\mathbb{Z})| < p+1+2\sqrt{p}$.

group and its size satisfies $p+1-2\sqrt{p} < |E(\mathbb{Z}/p\mathbb{Z})| < p+1+2\sqrt{p}$.

Now assume $\exists p \mid n$ s.t. $|E(\mathbb{Z}/p\mathbb{Z})| \mid K$

($e \equiv [0:1:0] \bmod p$.)

Then $K \cdot e = 0$ in $E(\mathbb{Z}/p\mathbb{Z}) \Leftrightarrow K e \equiv [0:1:0] \bmod p$

$\Rightarrow$ z-coord of $K e$ is divisible
by p .

In other words, the algorithm works if n has a
prime divisor p s.t. $|E(\mathbb{Z}/p\mathbb{Z})|$ is a product of
small primes. Since we are free to choose E (or in practice
several E 's) this works quite well!